

Penalties: Policy, Principles & Practice in Government Regulation

Thursday 7 June - Saturday 9 June 2001,
Dockside, Cockle Bay at Darling Harbour, Sydney



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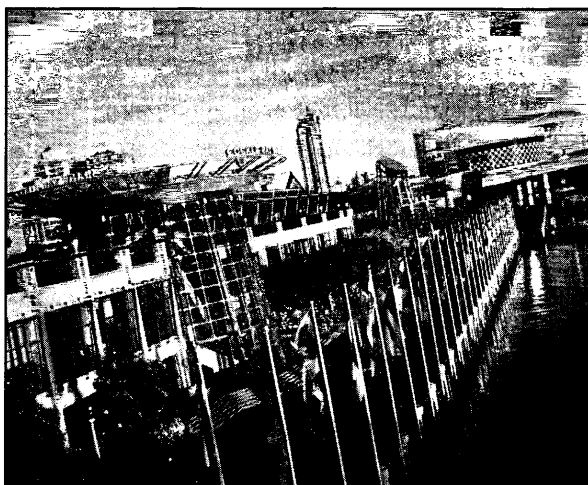
The ALRC is bringing together leading Australian and international experts in regulatory theory and practice for this conference, *Penalties: Policy, Principles & Practice in Government Regulation*.

The conference – to be opened by the Attorney-General of Australia, the Hon Daryl Williams AM QC MP – will address issues such as globalisation of regulation, risk control strategies and regulatory enforcement, the blurring of civil and criminal enforcement paradigms, principles of accountability and fairness, ascribing liability to company employees and directors, the role of courts in regulatory arrangements, and how to measure effective regulation.

For further information on the ALRC's
conference, please contact:

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Conference Program

This program is subject to change. Please check the ALRC's website – www.alrc.gov.au – for the latest information.

Thursday, 7 June 2001

1700 Welcome reception

Friday, 8 June 2001

0900 Official opening and keynote address

The Hon Daryl Williams AM QC MP, Attorney-General of Australia

Chair: Prof David Weisbrot, President, ALRC

0945 Keynote address 'Blurring the Criminal and Civil Enforcement Paradigms'

Prof John Coffee Jr, Adolf A Berle Professor of Law, Columbia University

Chair: Senator Marise Payne, Senator for NSW

Commentators: Prof Arie Freiberg, Head of the Dept of Criminology, University of Melbourne

Mr Joseph Longo, Freehills

1100 Morning Break

1130 Keynote address 'Managing Discretion'

Dr Julia Black, Senior Lecturer, London School of Economics

Chair: The Hon Justice Christine Wheeler, Supreme Court of Western Australia

Commentators: Mr Damian Bugg QC, Commonwealth DPP

The Hon Justice Keith Mason, President, NSW Court of Appeal

1245 Lunch

1415 Plenary Panel 'Principles of Fairness and Accountability'

Chair: The Hon Justice John von Doussa, Federal Court

Panellists: Mr Sitesh Bhojani, Commissioner, ACCC

Mr Ian Davis, Commissioner, ALRC

Mr Jeff Hilton SC, NSW Bar

1545 Afternoon break

1615 Parallel panel session

Session A 'Ascribing Civil and Criminal Liability for Company Employees and Directors – Who Carries the Corporate Can?'

Panellist & Chair: Mr Brent Fisse, Partner, Gilbert & Tobin

Panellists: Prof Robert Baxt, Partner, Arthur Robinson & Hedderwicks

Mr Nick Styant-Browne, Partner, Slater & Gordon

Mr Richard Warburton, Company Director

Session B 'Remedial Compliance Strategies'

Chair: Ms Helen Bird, University of Melbourne

Panellist: Ms Lisa Barrett, NZ Ministry of Economic Development

Ms Karen Yeung, Faculty of Law, University of Oxford

1930 Conference Dinner at WatersEdge Restaurant, The Rocks. After Dinner Address: The Hon Nick Greiner AC

Saturday 9 June 2001

0900 Keynote address 'Risk Control Strategies and Regulatory Enforcement'

Prof Anthony Ogus, Universities of Manchester and Maastricht

Chair: The Hon Justice Mark Weinberg, Federal Court

Commentators: Mr Michael D'Ascenzo, Second Commissioner, Australian Taxation Office

Ms Elizabeth Montano, Director, AUSTRAC

1030 Morning Break

1100 Parallel panel session

Session C 'Courts – Their Role in Regulatory Arrangements'

Panellists include: Mr Alan Ducret, Qld Regional Director, ACCC

The Hon Justice Raymond Finkelstein, Federal Court

Prof Ian Ramsay, Director, Centre for Corporate Law and Securities Regulation

Professor Rob Woellner, University of Western Sydney

Session D 'Measuring Success – How to Identify Effective Regulation'

Panellist & Chair: Prof Terry Carney, Faculty of Law, University of Sydney

Mr Bill Bourne, Aged Care Services, ANHECA

Ms Luise Elsing, Manager Companies, Australian Stock Exchange

Mr Jamie Orchard, Victorian Director of Enforcement, ASIC

1230 Lunch

1345 Keynote address 'Global Markets, Global Regulation'

Prof John Braithwaite, Law Program, Research School of Social Sciences, ANU

Chair/Commentator: Mr Hank Spier, Commissioner, ALRC (formerly CEO, ACCC)

Commentator: Dr Fiona Haines, Department of Criminology, University of Melbourne

1500 Afternoon break

1515 Keynote address 'Regulating in a High-Tech Marketplace'

Ms Debra Valentine, General Counsel, US Federal Trade Commission

Chair: Prof David Weisbrot, President, ALRC

Commentators: Prof Allan Fels, Chairman, ACCC

Ms Jillian Segal, Deputy Chair, ASIC

1700 Conference close